

Scalable Secure File Sharing System

Katsuhiko Yoshida[†], Masaki Tanikawa, Kazuyuki Takaya, Koji Morishita, and Hiroyuki Fujiwara

Abstract

We have developed a scalable secure file sharing system that can safely, securely, and reliably transfer a file (up to 100 GB) that cannot be attached to an email because the file is confidential or too big. This system ensures high security by using the Camellia encryption algorithm and working with an authentication infrastructure. It is simple to use and its operation is similar to sending email. It is applicable to a wide spectrum of business activities.

1. Scalable file transfer

File transfer is now essential to a wide variety of industries and businesses, as shown in **Fig. 1**. NTT Laboratories has already developed and introduced a platform product that allows the provision of an application service provider (ASP) service for businesses that handle files that exceed the size limit for email attachments. This ASP service has become the de facto standard service for the printing and advertising industry and is used daily for the transfer of advertisement graphics for newspapers and magazines. In response to several major leakages of personal and confidential information recently, many industries and corporations are looking for a means to transfer files safely and securely to other parties, especially ones outside the organization. File transfer over the network is considered attractive not only because it is secure and convenient but also because it costs far less than physical distribution.

NTT Laboratories has been expanding the functionality of its platform product to strengthen its security by incorporating encryption and authentication and to increase the maximum size of files that can be transferred beyond the current limit of 2 GB. The Scalable Secure File Sharing System (hereafter

referred to as the SSS) can transfer files safely, securely, and efficiently. The main functions of the system, which are illustrated in **Fig. 2**, are described below.

2. Systems functions

2.1 Encryption

File transfer over the Internet involves a risk that the file data might be seen by malicious third parties while the file is in transit or on a server. The SSS not only encrypts data transfer on the communication path using SSL (secure socket layer), but also encrypts the file itself by using Camellia [1] to protect the information from eavesdroppers.

2.2 Authentication

To prevent information from being stolen by spoofing, both user authentication and server authentication are implemented. However, efforts to strengthen authentication can often reduce the ease of operation. To deal with this issue, we provided two different authentication methods: the user can choose to place priority on strong authentication or on ease of operation.

1) Electronic certificate

A certificate is sent to the user in the PKCS#12 format (PKCS: public key cryptography standards). Both server and user authentication are performed on the basis of this certificate.

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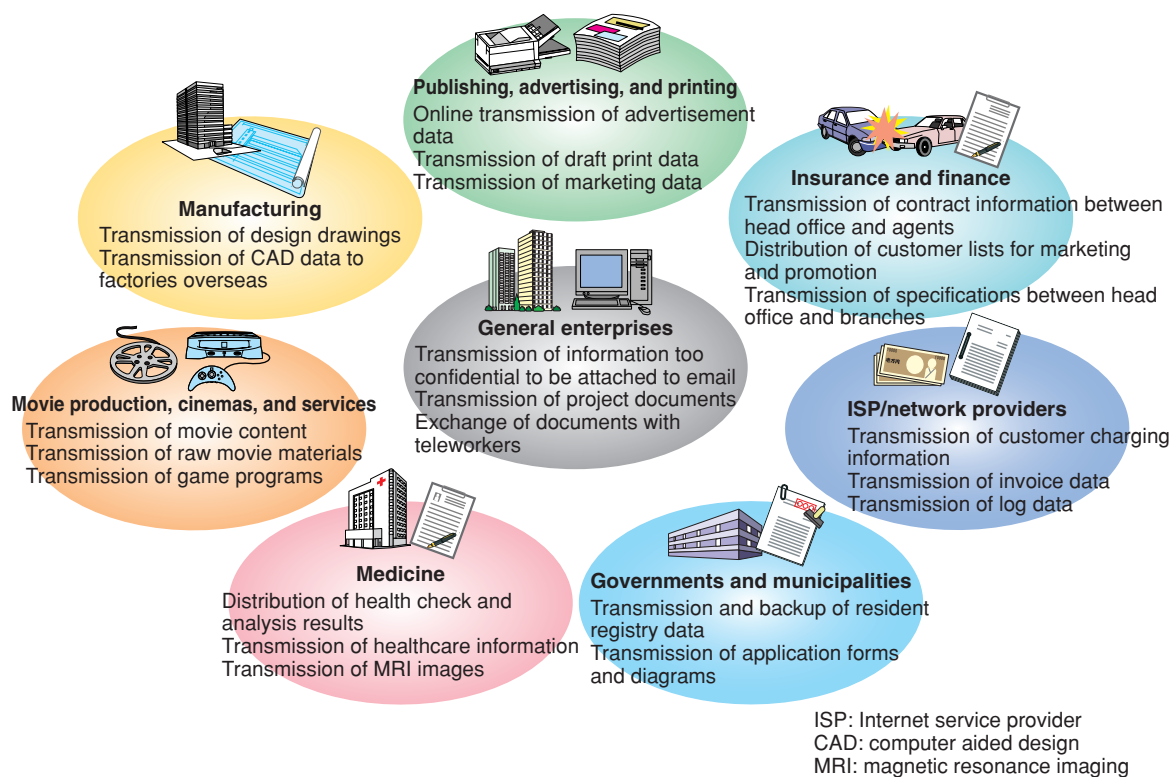


Fig. 1. Application areas of the SSS.

2) ID/password authentication

The server is authenticated using a certificate, but the user is authenticated using the user ID and password. This is easier to use and suitable for situations where the other party is known to be within a closed group such as within a virtual private network.

2.3 Certified delivery and tracking

The SSS enables the sender of a file to keep track of its progress while it is waiting to be sent, is in transit, or has been received. Depending on the terms and conditions of a contract or of a scheduled workflow, it may be necessary to confirm or track the delivery state of content reliably. To certify a file exchange in such a case, the SSS works with a time stamping authority to issue an electronic data transfer certificate and a certificate certifying that a file has been transferred by a service provider. This enables the user to declare formally to third parties that a certain file was transmitted at such-and-such a time and received at such-and-such a time.

2.4 Prevention of misdelivery

The SSS allows the user to limit the range of possi-

ble destinations. For example, file transfer may be permitted only to a certain user or only to members of a predefined group. More detailed control of delivery is also possible, such as permitting a user only to send files or only to receive files. The state of permission to send or receive files is checked before a file is actually sent to the server so that even if an incorrect destination is specified, the file does not reach an unintended user.

2.5 Transfer of large files (up to 100 GB)

The printing and 3D CAD (three-dimensional computer aided design) industries usually need to transfer files in the 1- to 10-GB size range. The movie industry needs to transfer movie content with a size of 100 GB. Such large files often cannot be transferred reliably because of network instability or connection timeout. The SSS ensures reliable file transfer in the following way. A number of files to be transferred are bundled into a container. Each container is then divided into segments of a suitable size for reliable transfer. The receiver reassembles the container from the received segments. An application keeps track of the transfer of each segment, so that after an interruption, segment transfer can be resumed reliably. For exam-

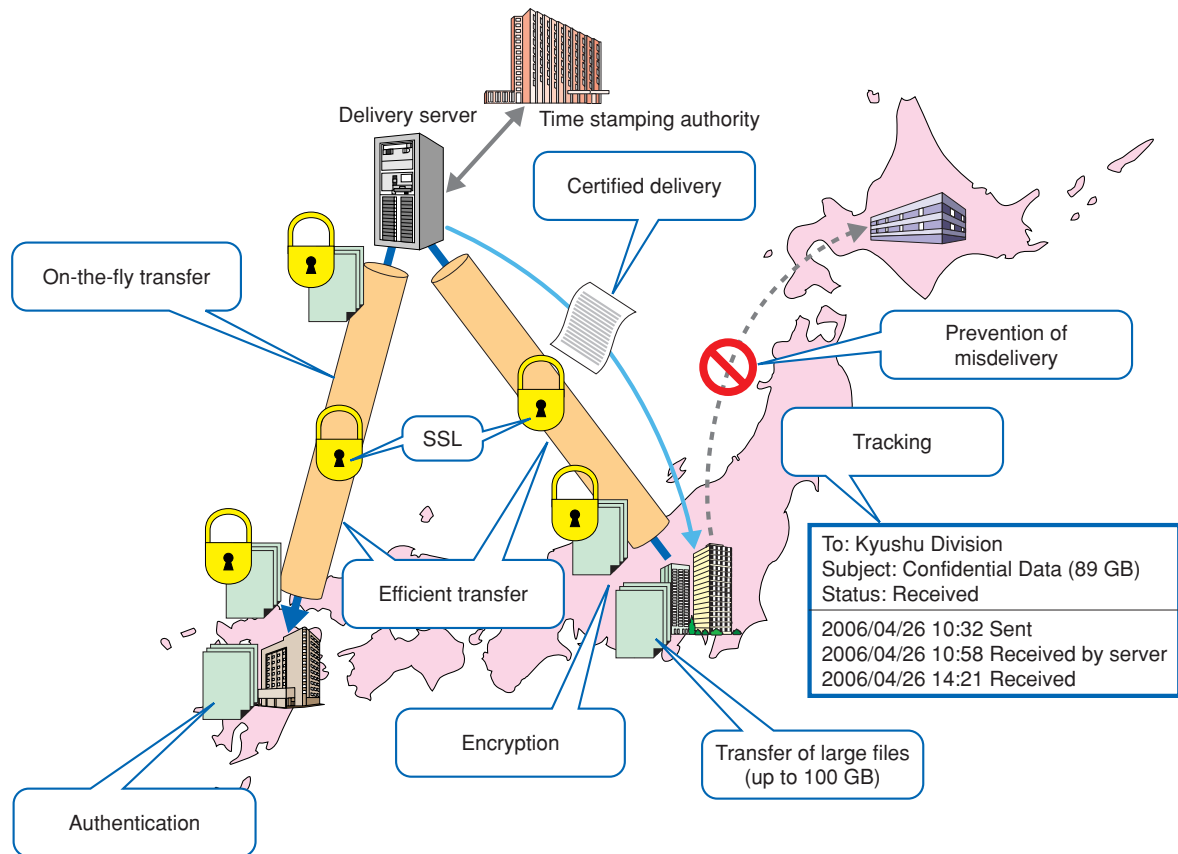


Fig. 2. Overview of SSS functions.

ple, even when a user terminal fails or a communication cable is disconnected accidentally during file transfer, the file transfer can be resumed from the point up to which reliable transfer had been confirmed. This avoids the waste of time that often occurs when an interrupted file transfer has to be restarted from the beginning.

2.6 Efficient transfer

The transfer of a file as large as 100 GB between two locations takes hours even when a high-speed link is used. Moreover, the time for transfer via a server usually consists of the sender's uploading time plus the receiver's downloading time, which doubles the end-to-end transfer time. For faster transfer, the SSS implements a "wait-free" transfer mode, which we call "on-the-fly", that enables the receiver to download file segments immediately after they have been received, while the sender is still uploading the remaining segments. This feature is similar to the "chase play" mode of hard disk recorders that allows a user to watch a TV program while it is being record-

ed instead of having to wait until the end of the recording in order to rewind the video cassette and replay it. This efficient transfer scheme means that the receiver can get a file almost as soon as the sender finishes sending it.

2.7 API and client applications

We provide a common application programming interface (API) in addition to application, browser, and command-line-based clients. The application client (Fig. 3) works just like ordinary email, so anyone who is used to using an email program can easily use all the available functions of the SSS. Although the browser client (Fig. 4) provides the selected functionality, it does not require any program to be installed: it works like Web mail. Therefore, this is suitable for light users. The command-line-based client enables shell scripts to be used to automate the transmission and reception of files. It can be customized to suit the particular workflow to be used. As shown in Fig. 5, these client applications run on top of the common API, which makes it possible to

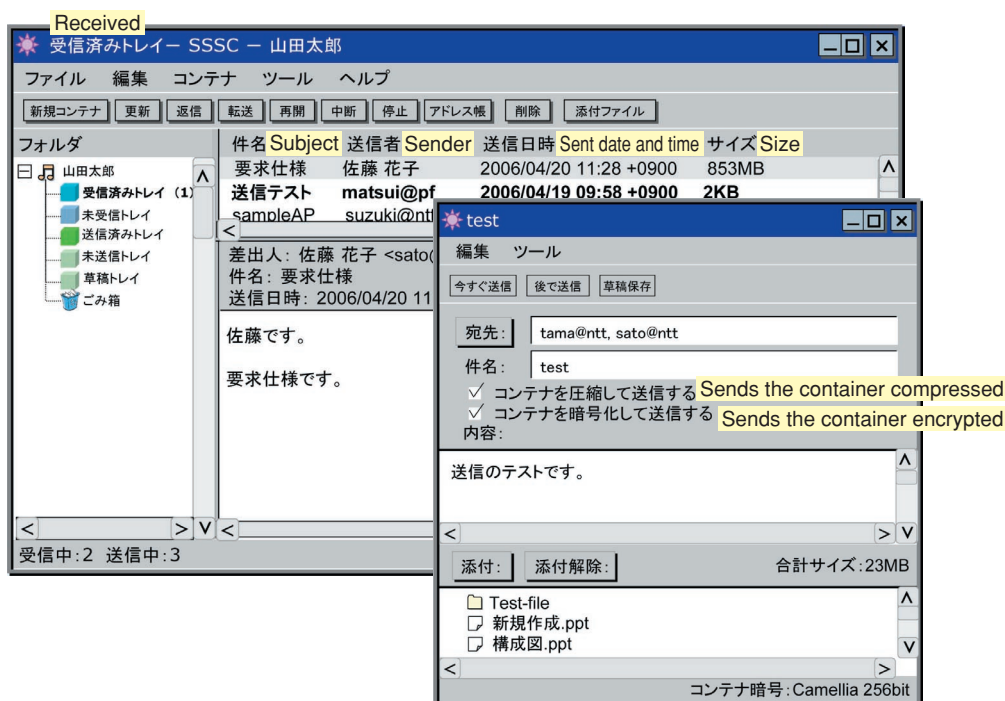


Fig. 3. Example of windows of a dedicated application-type client (Japanese version).

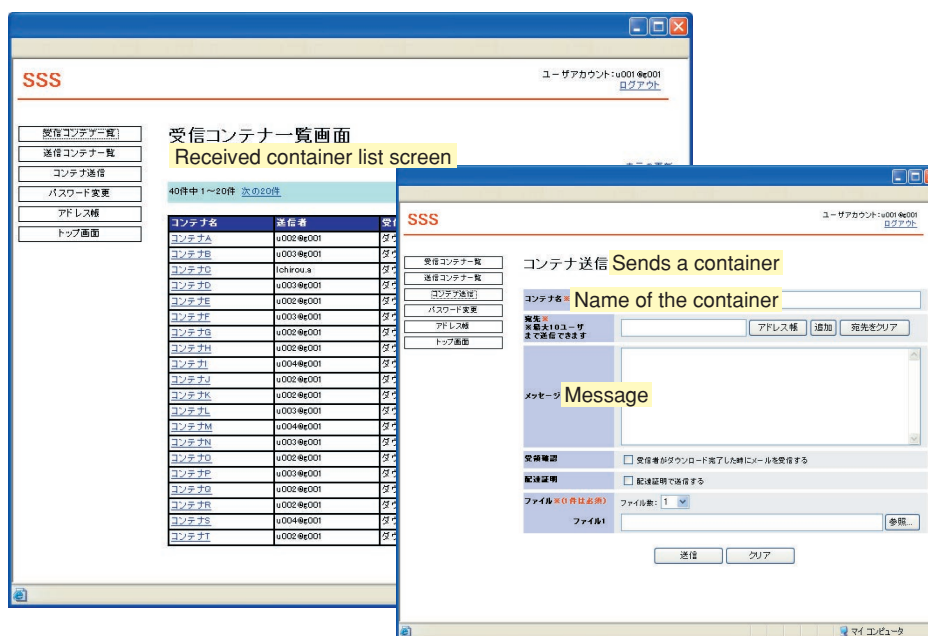


Fig. 4. Example of windows of a browser-type client (Japanese version).

develop applications dedicated to a specific industry, a specific usage, or a specific business. By offering these capabilities, the SSS can support a wide range of services, from simple ASP services to the development of sophisticated applications dedicated to a specific workflow or usage.

3. Future work

Communication over the Internet may currently experience considerable delays due to the properties of TCP/IP (transmission control protocol, Internet protocol) or sheer physical distance, resulting in the

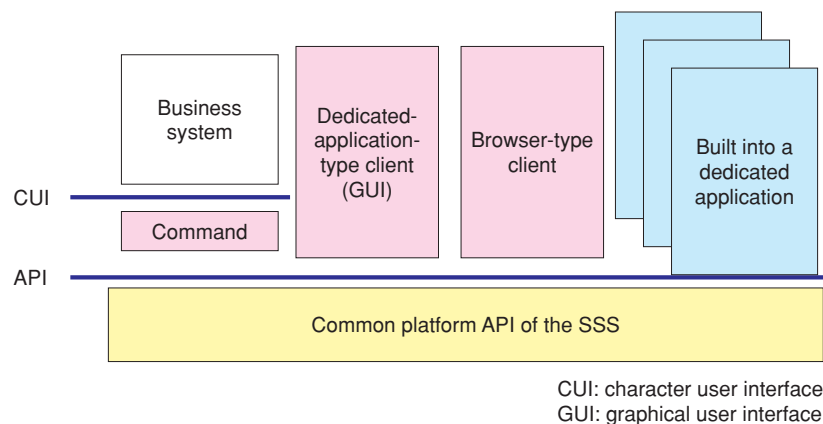


Fig. 5. Application architecture.

effective communication speed possibly being much lower than the speed of the communication link used. When a user needs to deliver a large file, the effective communication speed might even be so low that it would be faster to burn the file to CDs and have them delivered by a motorcycle courier. We plan to develop an express delivery service that can reliably transmit a file faster than the normal Internet communication service. We will develop a mechanism for selecting the optimum transmission method on the basis of the actual network delay and throughput. This service will allow the rapid transmission of files larger than 1 GB, such as CAD data and artwork.

NTT Software Corporation currently sells an email encryption program called Cipher Craft/Mail (shortened to CC/Mail below). This add-on program gives the user's existing email program the functions of both Camellia-based email encryption and misdelivery prevention. We are studying a mechanism for switching between CC/Mail and the SSS, with the former being used when the file to be sent is small and the latter when it is large. This will enable the

user to continue to use his/her existing email environment without having to decide which transfer method to use in each case because it will automatically and seamlessly select the ordinary email scheme for transmission of a non-confidential file, CC/Mail for a small confidential file, and the SSS for a large confidential file.

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